



## SSPL WEALTH PVT. LTD.

Member Code: NSE - 11221, BSE – 6292, MCX -56990, Sebi Reg. No.: INZ000192638  
 CIN: U67120TN1999PTC042589, GST No.: 33AAECS8838B1ZF, PAN No.: AAEC8838B  
 NEW NO. 11, OLD NO. 5. BISHOP WALLERS AVENUE (WEST), MYLAPORE, CHENNAI- 4  
 E mail – [info@ssplwealth.com](mailto:info@ssplwealth.com), Ph: 044-42169494

### Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for every month ending

S N	Receive d from	Carried forward from previous month	Receiv e d during the month	Total Pendin g	Reso lve d*	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORE S)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges	Nil	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
5	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>



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### Trend of monthly disposal of complaints

S. No	Month	Carried forward from month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	Apr - 2023	Nil	Nil	Nil	Nil
2	May - 2023	Nil	Nil	Nil	Nil
3	Jun - 2023	Nil	Nil	Nil	Nil
4	Jul - 2023	Nil	Nil	Nil	Nil
5	Aug - 2023	Nil	Nil	Nil	Nil
6	Sep - 2023	Nil	Nil	Nil	Nil
7	Oct - 2023	Nil	Nil	Nil	Nil
8	Nov - 2023	Nil	Nil	Nil	Nil
9	Dec - 2023	Nil	Nil	Nil	Nil
10	Jan - 2024	Nil	Nil	Nil	Nil
11	Feb - 2024	Nil	Nil	Nil	Nil
12	Mar - 2024	Nil	Nil	Nil	Nil
13	Apr - 2024	Nil	Nil	Nil	Nil
14	May - 2024	Nil	Nil	Nil	Nil
15	Jun - 2024	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### Trend of annual disposal of complaints

S. No	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	Nil	1	1	Nil
2	2018-19	Nil	Nil	Nil	Nil
3	2019-20	Nil	Nil	Nil	Nil
4	2020-21	Nil	Nil	Nil	Nil
5	2021-22	Nil	Nil	Nil	Nil
6	2022-23	Nil	1	1	Nil
7	2023-24	Nil	Nil	Nil	Nil
	<b>Grand Total</b>	<b>Nil</b>	<b>2</b>	<b>2</b>	<b>Nil</b>



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